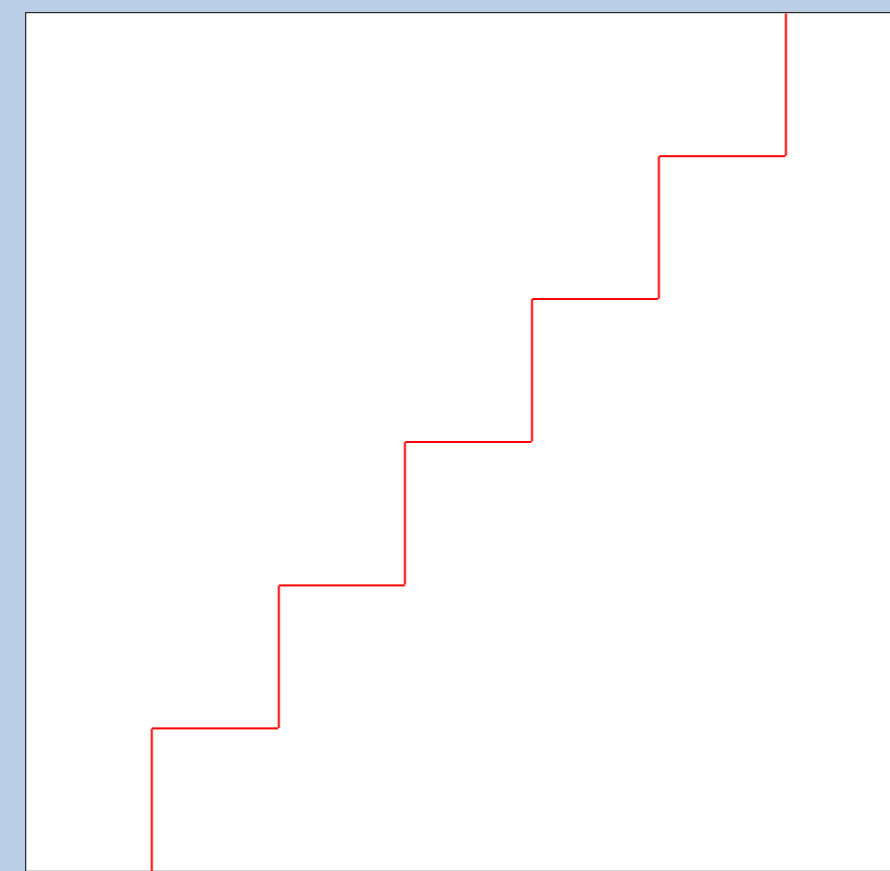


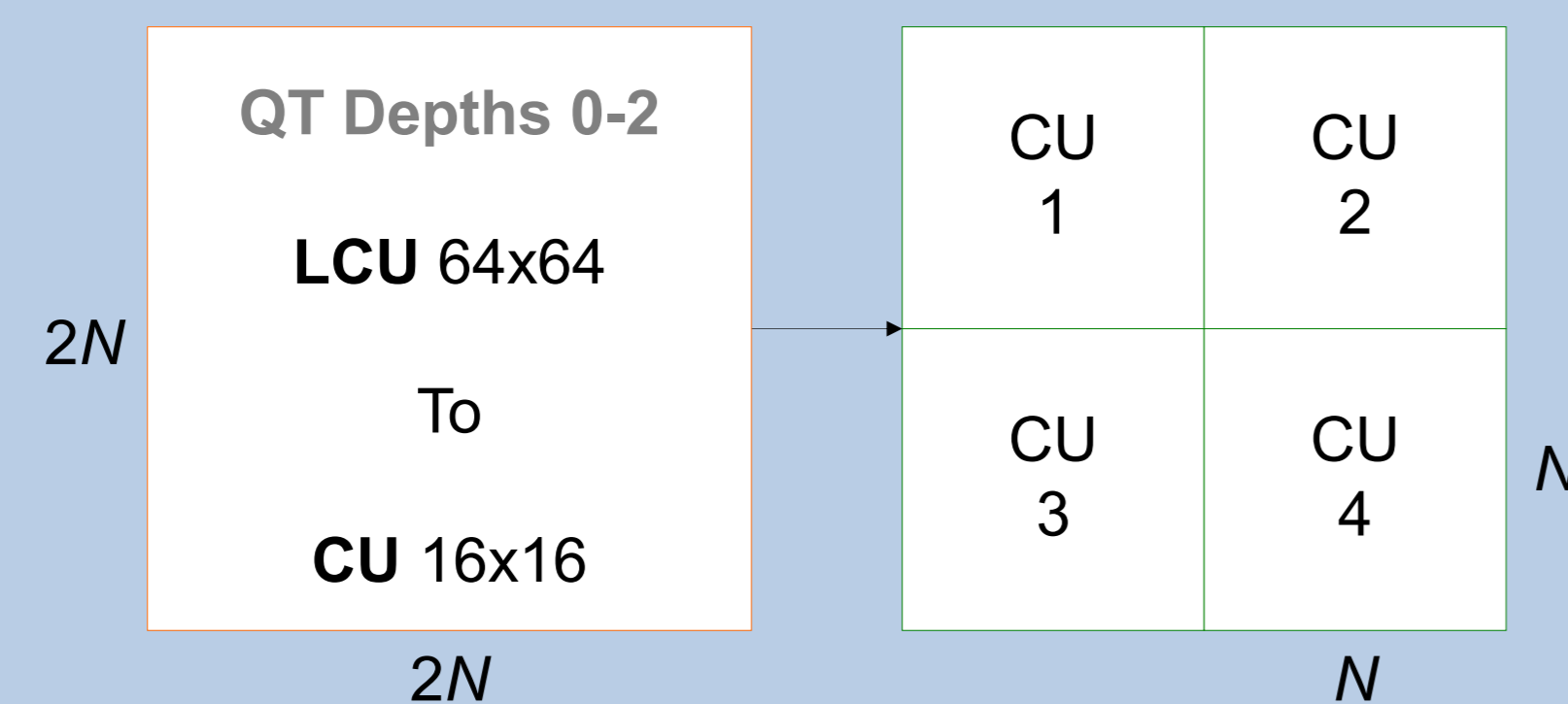
## Uniform Reconstruction Quantization (URQ)



**Figure 1:** Uniform Quantization Step Size (QStep) of URQ in HEVC. The Quantization Parameter (QP) has a binary logarithmic relationship with the QStep.

- URQ uniformly quantizes transform coefficients based on a QStep/QP (see Figure 1) without taking into account the perceptual characteristics of luma and chroma pixel data in a Coding Unit (CU).
- Bits are, therefore, wasted on perceptually insignificant luma and chroma pixel regions. URQ is not a perceptually optimized quantization technique, which constitutes a significant drawback.

## AdaptiveQP in HEVC



**Figure 2:** In AdaptiveQP, the  $2N \times 2N$  CUs at QuadTree (QT) depth levels 0-2 are partitioned into four  $N \times N$  CUs, where  $N=32$  (level 0),  $N=16$  (level 1) or  $N=8$  (level 2). Each CU is then partitioned into four sub-blocks.

$$Q = q + \left\lceil 6 \times \log_2(n) \right\rceil \quad (1)$$

$$n = \frac{f \cdot l + t}{l + f \cdot t} \quad (2)$$

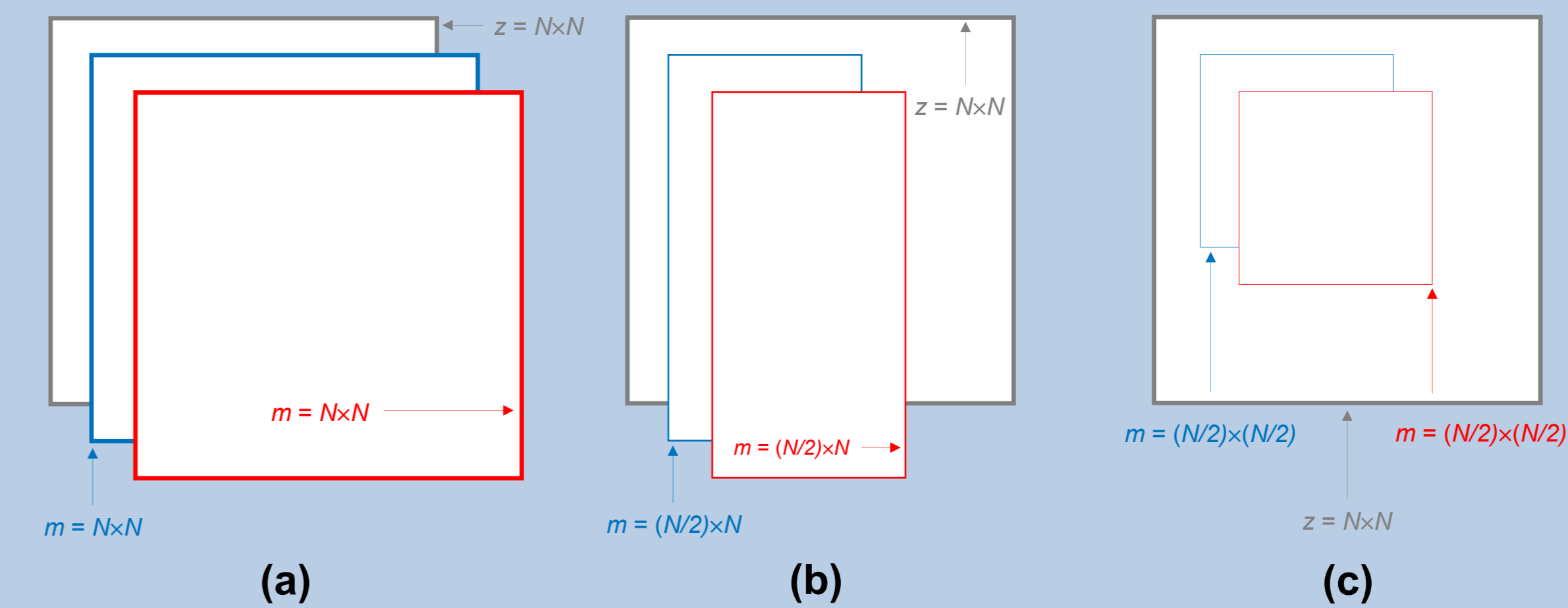
$$f = 2 \quad (3)$$

$$l = 1 + \min\left(\sigma_{Y,k}^2\right), \quad k = 1, \dots, 4 \quad (4)$$

$Q$  — CU-level perceptual QP.  
 $q$  — Frame-level QP.  
 $n$  — Normalized spatial activity in a luma CB.  
 $f$  — Scaling factor (default QP adaptation range in HM).  
 $l$  — Non-normalized spatial activity in a luma CB.  
 $t$  — Mean spatial activity for all  $2N \times 2N$  CUs.  
 $\sigma_{Y,k}^2$  — Variance of pixels in sub-block  $k$  of a luma CB.

- AdaptiveQP is a luma-based perceptual quantization technique in JCT-VC HEVC HM.
- Compared with URQ, it can decrease bitrates without incurring a discernible loss of reconstruction quality.
- AdaptiveQP increases or decreases the QP of an entire CU based on the variance of pixels in sub-block  $k$  of a Y Coding Block (CB) only, which constitutes a shortcoming. See equations (1)-(4) and Figure 2.

## Proposed C-BAQ Technique in HEVC



**Figure 3:** The sizes of sub-blocks  $k$  in luma and chroma CBs, in C-BAQ, within a  $2N \times 2N$  CU: Y (gray), Cb (blue), Cr (red). There are four constituent sub-blocks in the Y, Cb and Cr CBs. Variables:  $z$  (Y sub-block) and  $m$  (Cb and Cr sub-blocks).

$$\tilde{Q} = q + \left\lceil 6 \times \log_2(\tilde{n}) \right\rceil \quad (5)$$

$$\tilde{n} = \frac{f \cdot (l + b + d) + t}{(l + b + d) + f \cdot t} \quad (6)$$

$$b = 1 + \min\left(\sigma_{Cb,k}^2\right), \quad k = 1, \dots, 4 \quad (7)$$

$$d = 1 + \min\left(\sigma_{Cr,k}^2\right), \quad k = 1, \dots, 4 \quad (8)$$

$\tilde{Q}$  — CU-level cross color channel perceptual QP.  
 $\tilde{n}$  — Normalized combined spatial activity in all three CBs.  
 $b$  — Non-normalized spatial activity in a Cb CB.  
 $d$  — Non-normalized spatial activity in a Cr CB.  
 $\sigma_{Cb,k}^2$  — Variance of pixels in sub-block  $k$  of a Cb CB.  
 $\sigma_{Cr,k}^2$  — Variance of pixels in sub-block  $k$  of a Cr CB.

- C-BAQ is a cross-color channel perceptual quantization method which improves upon AdaptiveQP.
- C-BAQ computes the pixel variances in all three CBs. See equations (5)-(8) and Figure 3.
- This greatly decreases bitrates (See Table 1) without affecting perceptual quality (see Figures 4 and 5).



**Figure 4:** KristenAndSara 4:2:0 sequence coded with C-BAQ (left) versus AdaptiveQP (right).



**Figure 5:** DuckAndLegs 4:4:4 sequence compressed with C-BAQ (left) versus AdaptiveQP (right).

**Table 1:** BD-Rate results attained by the proposed C-BAQ technique compared with AdaptiveQP. The All Intra (AI) results are shown on the left and the Random Access results (RA) are shown on the right.

C-BAQ versus AdaptiveQP (YCbCr 4:2:0) – AI				C-BAQ versus AdaptiveQP (YCbCr 4:2:0) – RA			
Sequence	BD-Rate %			Sequence	BD-Rate %		
	Y	Cb	Cr		Y	Cb	Cr
FourPeople (8-bit)	-9.5	-8.6	-9.9	FourPeople (8-bit)	-8.7	-7.5	-8.0
KristenAndSara (8-bit)	-14.3	-12.3	-12.5	KristenAndSara (8-bit)	-15.5	-12.8	-11.8
ParkScene (8-bit)	-5.4	-8.0	-7.8	ParkScene (8-bit)	-4.0	-6.1	-6.2
Traffic (8-bit)	-8.6	-10.6	-13.5	Traffic (8-bit)	-4.9	-7.0	-9.0
C-BAQ versus AdaptiveQP (YCbCr 4:2:2) – AI				C-BAQ versus AdaptiveQP (YCbCr 4:2:2) – RA			
Sequence	BD-Rate %			Sequence	BD-Rate %		
	Y	Cb	Cr		Y	Cb	Cr
PeopleOnStreet (8-bit)	-9.8	-13.4	-9.6	PeopleOnStreet (8-bit)	-5.3	-5.5	-3.9
DuckAndLegs (10-bit)	-6.0	-4.2	-8.3	DuckAndLegs (10-bit)	-8.0	-9.2	-11.0
ParkScene (10-bit)	-9.7	-9.2	-16.1	ParkScene (10-bit)	-7.5	-12.8	-13.5
Traffic (10-bit)	-9.2	-12.2	-15.3	Traffic (10-bit)	-5.0	-9.3	-11.4
C-BAQ versus AdaptiveQP (YCbCr 4:4:4) – AI				C-BAQ versus AdaptiveQP (YCbCr 4:4:4) – RA			
Sequence	BD-Rate %			Sequence	BD-Rate %		
	Y	Cb	Cr		Y	Cb	Cr
PeopleOnStreet (8-bit)	-11.8	-14.0	-9.0	PeopleOnStreet (8-bit)	-6.7	-7.1	-6.4
DuckAndLegs (10-bit)	-14.0	-7.0	-11.2	DuckAndLegs (10-bit)	-15.9	-13.1	-16.1
ParkScene (10-bit)	-15.6	-8.7	-19.3	ParkScene (10-bit)	-12.0	-16.4	-17.0
Traffic (10-bit)	-11.1	-13.4	-15.9	Traffic (10-bit)	-5.6	-11.3	-11.9

- **Best Overall Bitrate Reductions:** 15.9% (Y), 13.1% (Cb) and 16.1% (Cr) — See Table 1 and Figure 5.
- **Discussion:** C-BAQ achieves superior results when applied to the 4:4:4 version of a given sequence.
- **Conclusion:** Cross-color channel CU-level QP selection is superior to luma-based CU-level QP selection.
- **Future Work:** CB-level perceptual quantization of 4:4:4 high bit-depth video data.